## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplication of:

Group Art Unit No.: 2152

Shankar Narayan

Examiner: NYA

Serial No.: 09/981,189

Filed on: October 16, 2001

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Commissioner for Patents Washington, D.C. 20231

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## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

<u>X</u>	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
	A statement pursuant to 37 C.F.R. §1.97(e)
	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

cited in communication from a foreign patent office in a counterpart

	information disclosure statement.
	1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
37 C.F.R. the follow	§1.97(d). If so, then this Information Disclosure Statement includes ving:
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	1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR
	1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.
	AND
	A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.
	§1.97(i). Wherein applicants are submitting references before the patent to be placed in the file but not considered by the Patent office.
1449	ordingly, copies of the references as listed on the attached Form PTO are submitted herewith for placement in the file. No certification or are deemed necessary.

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: July <u>18</u>, 2002

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Jennifer Newell
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)			Attorney Docket No.: 60033-0011 First Named Inventor: Shankar Narayan	Application Numb 09/981,189	er:	
JUL 2.7 LOUL				Filing Date: October 16, 2001		
& TRAC	DE MILL		U.S. PATEN	IT DOCUMENTS		
Exam. Cite No.1 -	U.S. Patent			me of Patentee or Applicant of Field Document  JUL 2 9 2002  Technology Center 2100	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS									
Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	T <sup>6</sup>		
No.¹	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1		
	EP	0803811	A2	Sun Microsystems, Inc.	10-29-1997				
	WO	94/11810		Microsoft Corporation	05-26-1994				
	WO	98/44414		Sun Microsystems, Inc.	10-08-1998				
		No.¹ Office³  EP  WO	No.¹ Office³ Number⁴  EP 0803811  WO 94/11810	Cite No.¹      Foreign Patent Document	Cite No.¹  Cite No.¹  Office³ Number⁴ Kind Code⁵ (If known)  EP 0803811 A2 Sun Microsystems, Inc.  WO 94/11810 Microsoft Corporation	Cite No.¹  Cite No.¹  Office³  Number⁴  Kind Code⁵ (If known)  EP  0803811  A2  Sun Microsystems, Inc.  Mame of Patentee or Applicant of Cited Document  Name of Patentee or Applicant of Cited Document  MM-DD-  YYYY  EP  0803811  A2  Sun Microsystems, Inc.  10-29-1997  WO  94/11810  Microsoft Corporation  05-26-1994	Cite No.¹  Office³ Number⁴ Kind Code⁵ (If known)  EP 0803811 A2 Sun Microsystems, Inc.  Name of Patentee or Applicant of Cited Document of		

Examiner	Date Considered	
Signature		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

'Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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Substitute f	for Form	1449A/PTO (Modified) eets as necessary)	Attorney Docket No. 60033-0011	:	Application 09/981,189	Number:			
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ENT& T	RADEMACH	OTHER ART – NO PATENT	LITERATURE DOCU	MENTS					
Examiner Initials*	Cite No¹	Include name of the author (in CAPITAL LI of the item (book, magazine, journal, seria issue number(s), publisher, of WOO-YONG HAN, ET AL., "Design an Security Specification 2.0," 1998, pp. 74-ERICH GAMMA, ET AL. "Design Patter Software," 1995, pp. 1-9, 207-217, XP-002	ETTERS), title of the articl l, symposium, catalog, etc city and/or country when	le (when appr c.), date, page( e published	opriate), title (s), volume-	Transl	ation <sup>2</sup>		
		WOO-YONG HAN, ET AL., "Design an Security Specification 2.0," 1998, pp. 74-	d Implementation of Section 28, XP-000923092.	ecure ORB U	Ising Cob	الال			
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		"Distributed Object Encapsulation of Cu Distributed Transaction Processing," IBI 1995, pp. 177-180, XP-000498730.	M Technical Disclosure	Bulletin, vo	l. 38, no. 1,	>			
·		AMITABH DAVE, ET AL., "Proxies, Ap Proceedings of the International Worksh Systems, 1992, pp. 212-220, XP 00200431	nop on Object Orientati				·		
		OBJECT MANAGEMENT GROUP: "CC Specification," November 1997, pp. I – 2		,	vices				
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.